21S1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

RECEIVED
DEC 1 1 2001
Group 2100

JL 3 5

Docket No. 6002.03

Application of:

SIEFERT, D. M.

Serial No. 08/813,714

Filed: March 7, 1997

Group Art Unit: 2151

Examiner: S. Lao

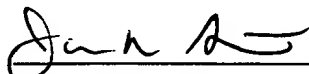For: **AUTOMATED RESOURCE MANAGEMENT SYSTEM**

Assistant Commissioner for Patents
Washington, D.C.   20231

INFORMATION DISCLOSURE STATEMENT
C.F.R. §1.97(b)

Sir:

In accordance with 37 CFR §1.56, §1.97 and §1.98, with regard to
the above-named application, the items of information identified on the
enclosed Form PTO/SB/08A are brought to the attention of the Examiner.
A copy of each document or other information listed on the enclosed Form
PTO/SB/08A is provided.

Respectfully submitted,

James M. Stover
Attorney for Applicants
Reg. No. 32,759

NCR Corporation
Dayton, Ohio 45479-0001
Tel. No. (937) 445-7663
Fax No. (937) 445-4792

CERTIFICATION OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on _____.
By: _____
Name: _____

Substitute for form 1449B/PTO	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	08/813,714
	Filing Date	March 7, 1997
	First Named Inventor	David M. Siefert **RECEIVED**
	Group Art Unit	2151
(use as many sheets as necessary)	Examiner Name	S. Lao **DEC 11 2001**
Sheet	1	of	4	Attorney Docket Number	6002.03

Group 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		"Harvest User's Manual" by Darren R. Hardy & Michael F. Schwartz, Version 1.0 October 1994. Last revised on November 3, 1994.	
		"Essence: A Resource Discovery System Based on Semantic File Indexing" by Darren R. Hardy & Michael F. Schwartz - University of Colorado, Boulder. 1993 Winter USENIX - Jan. 25-29, 1993 - San Diego, CA pgs 361-374.	
		"Harvest Effective Use of Internet Information," The Harvest Information Discovery and Access System.	
		"The Harvest Information Discovery and Access System" by C. Mic Bowman, Peter B. Danzig, Darren R. Hardy, Ndi Manber & Michael F. Schwartz.	
		Technical Discussion of the Harvest System.	
		Harvest Demonstration Brokers	
		Harvest User's Manual	
		Instructions for the Harvest distribution: Version 1.3	
		Frequently Asked Questions (and Answers) about Harvest Version 1.4 patchlevel 2. 13 pages	
		"Customized Information Extraction as A Basis for Resource Discovery," by Darren R. Hardy and Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder.	
		"Debugging Autonomously Managed Distributed Systems & The Harvest Cache and Directory Replicator," by Peter B. Danzig Computer Science Department, University of Southern California, November 2, 1994.	

Examiner Signature		Date Considered	

Please type a plus sign (+) inside this box →

PTO/SB/08B (08-00)
Approv... se through 10/31/2002. OMB 0651-0031
U. S. Patent and Tradem... ...e: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	08/813,714
	Filing Date	March 7, 1997 RECEIVED
	First Named Inventor	David M. Siefert
	Group Art Unit	2151
	Examiner Name	S. Lao DEC 1 1 2001

Sheet	2	of	4	Attorney Docket Number	6002.03 Group 2100

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		Harvest: A Scalable, Customizable Discovery and Access System, IRTF-RD: Mic Bowman (co-PI, Transarc), Pater Danizig (co-PI, USC), Darren Hardy (PRA, U Colorado), Udi Manber (co-PI, U Arizona), Mike Schwartz (PI, U Colorado).	
		"Internet Resource Discovery Services," by Katia Obraczka, Peter B. Danzig and Shih-Hao Li, University of Southern California, 8153 Computer, 26 (1993) September, No. 9, Los Alamitos, CA, US.	
		"The Internet Gopher: A Distributed Server Information System," by Mark Cahill, University of Minnesota.	
		"The Internet Gopher Protocol a distributed document search and retrieval protocol" Network Working Group, Request for Comments: 1436. 15 pages.	
		Exploring the Power of the Internet Gopher, UIUCnet V6-1, January 1993.	
		"The Whole Internet" User's Guide & Catalog, Second Edition, by Ed Krol 384.648, 161 Kr 1994.	
		Internet Passport, Northwestnet's Guide To Our World Online, March 1993, Fourth Edition.	
		"archie – An Electronic Directory Service for the Internet," by Alan Emtage, McGill University, Montreal, Canaca, Peter Deutsch, McGill University, Montreal, Canada, USENIX – Winter '92.	
		"Distributed Indexing A Scalable Mechanism for Distributed Information Retrieval," by Peter B. Danzig, Jongsuk Ahn, John Noll, Katia Obraczka, Computer Science Department, University of Southern California,, Los Angeles, California. ACM SIGIR Conference 1991, pgs 220-229.	
		"Internet Resource Discovery at the University of Colorado," by Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder Colorado, October 1992.	
		"Research Problems for Scalable Internet Resource Discovery," by C. Mic Bowman, Peter B. Danzig, Michael F. Schwartz, University of Colorado at Boulder, Technical Report CU-CS-643-93, March 1993.	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Unique citation designation number. [2] Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	08/813,714
	Filing Date	March 7, 1997 RECEIVED
	First Named Inventor	David M. Siefert
	Group Art Unit	2151
(use as many sheets as necessary)	Examiner Name	S. Lao DEC 11 2001
Sheet 3 of 4	Attorney Docket Number	6002.03 Group 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		"Fields of Files," by Ruth Halpern, LAN Technology, September 1991, Volume 7, Number 9, pgs 48-62.	
		"Test Drive - Inside the Document Den," by Ruth Halpern, Lan Magazine, December 1992, Volume 7, Number 12, pgs 170-178.	
		Automating Document Managewment, Office Administration and Automation, Vol. XLVI, No. 4, April 1985, pgs 51-86.	
		"A Network Under Control," by Ed Perratore, December 17, 1991, PC Magazine, pgs 287-330.	
		"Evolution of the Internet Gopher," by Mark P. McCahill & Farhad X.Anklesaria, J.UCS, Volume 1, Issue 4.	
		Road Map to A/UX, Release 3.0.	
		Apple Inside Macintosh Volume III	
		Brady "Inside the Apple Macintosh," by Jim Held & Pater Norton.	
		Macintosh Bible, second edition, edited by Arthur Naiman.	
		Claris for Macintosh, ClarisWorks User's Guide.	
		Guide to Macintosh System 7.5, Don Crabb.	

Examiner Signature		Date Considered	

Substitute for form 1449B/PTO	Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*	Application Number	08/813,714
	Filing Date	March 7, 1997
	First Named Inventor	David M. Siefert
	Group Art Unit	2151
	Examiner Name	S. Lao

Sheet	4	of	4	Attorney Docket Number	6002.03

RECEIVED
DEC 1 1 2001
Group 2100

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
		Macintosh User's Guide for desktop Macintosh computers, Part I-IV.	
		"The System 7 Book", by Craig Danuloff, Second Edition for 7.0 & 7.1.	
		"Desktop Design Series," the Ventana Press.	
		An Overview of A/UX Release 3.0, Chapters 1 & 2.	
		Mastering Windows 3.1, Robert Corvart.	
		"What Is Microsoft Workgroup Add-On for Windows?	
		Microsoft Windows User's Guide Operating System Version 3.1.	
		Concepts, Novell NetWare 4, Network Computing Products.	

Examiner Signature		Date Considered	